



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	09/800,016
Filing Date	March 5, 2001
First Named Inventor	Dean Pettit
Group Art Unit	1646
Examiner Name	
Attorney Docket No.	140145.410

RECEIVED

APR 17 2003


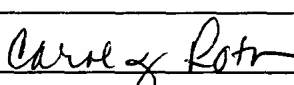
TECH CENTER 1600/2900

### ENCLOSURES (check all that apply)

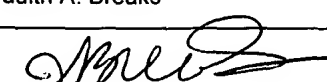
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Second Supplemental Information Disclosure Statement Form PTO-1449 (1 Sheet) <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Preliminary Amendment</u>
--	---	--

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Carol J. Roth Registration No. 32,783	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	April 8, 2003	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.		
Typed or printed name	Judith A. Breaks	
Signature		Date: April 8, 2003



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231.

April 8, 2003  
Date

Judith A. Breaks  
Judith A. Breaks

10/a  
A.G.  
5/5/03  
**RECEIVED**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 17 2003

Applicants : Dean Pettit et al.  
Application No. : 09/800,016  
Filed : March 5, 2001  
For : STABLE AQUEOUS SOLUTIONS OF GRANULOCYTE  
MACROPHAGE COLONY-STIMULATING FACTOR

TECH CENTER 1600/2900

Art Unit : 1646  
Docket No. : 140145.410  
Date : April 8, 2003

Commissioner for Patents  
Washington, DC 20231

PRELIMINARY AMENDMENT

Commissioner for Patents:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 4 of this paper.